

CORPORATE / PARTNERSHIP FIRM CLIENT REGISTRATION FORM MCX



Commodity Broking Ltd

Membership No. 40005, FMC Reg. No. MCX/TCM/CORP/1606

Flat No. 5, Sagar Apartment, 6 Tilak Marg, New Delhi-110 001

P : 011-23382345 / 3517 / 7420, 43100999, F : 91-11-23385640

E : care@rkglobal.in W : www.rkglobal.net

Dear Sirs,

I/We request you to register me/us as your client and enable me/us to trade in Commodity Market of Multi Commodity Exchange pursuant to the Agreement entered into with you. I/We have read Rules, Bye-laws and Regulations of the Commodity Market of MCX and agree to abide by them. In this regard the following information is furnished.

DETAILS OF CONSTITUENTS

Name of the Organisation			
Date of Incorporation		Date of Commencement of Business	
Registration No.		Place of Registration	
Date of Registration		Date of Issue	
Networth		Nature of Business	

CORRESPONDENCE ADDRESS DETAILS

Registered Office Address							
		Pin					
Phone No. (with STD Code)				Mobile :			
Fax No. (with STD Code)			E-mail				
Correspondence Address							
		Pin					
Phone No. (with STD Code)				Mobile :			
Fax No. (with STD Code)			E-mail				
	Signature ① 						

BANK DETAILS

BANK NAME	BRANCH ADDRESS (TEL., FAX)	BANK A/C NO.	A/C TYPE (SB/CA/NRI/Others)

INVESTMENT EXPERIENCE Years in Stocks Years in Derivatives Years in Commodities Years in any other related field**OTHER/BROKER'S SUB-BROKER'S DETAILS**

Whether registered with any other Broker-member / Sub Broker

If Yes, Name of the Broker

If Yes, Name of the Exchange

Code No.

DETAILS COLLATERAL SECURITIES DEPOSITED

Collateral Details	Collateral's Declared Value	% Haircut	Assigned Value
Cash			
Warehouse Receipts			
Bank Guarantees			
Immovable Property			
Jewellery			
Other (Specify)			

INTRODUCER'S DETAILS

Name	
Father's Name	
Address	
Signature	Introducing Client Code
Relationship with Applicant	Relationship with TM

The information furnished above is true to the best of my/our knowledge and belief and I/We undertake to inform you of any changes there immediately in writing. I/We agreeable to enter into an agreement to abide by your terms and conditions. In case if any of the above information is found to be false or untrue then I/We am/are (name of the entity) to be held liable for it.

Place

Signature ② 

Date

Name

Notes : Please submit a letter from the bankers certifying the account number and the period from which this account is in operation Each client has to use one registration form. In case of names/family members please submit separate form for each person. Original documents may be verified at the time of filling of application. The information given above would be confidential. However, if the information is required by any government agency. It may be given to them.

DIRECTOR'S / PARTNER'S DETAILS

Commodity Broking Ltd

Flat No. 5, Sagar Apartment, 6 Tilak Marg, New Delhi-110 001
 P : 011-23382345 / 3517 / 7420, 43100999, F : 91-11-23385640
 E : care@rkglobal.in W : www.rkglobal.net

Name							
Father's Name							
Sex	Male <input type="checkbox"/>	Female <input type="checkbox"/>	Marital Status : Married <input type="checkbox"/>		Unmarried <input type="checkbox"/>		
Designation					Date of Birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	
Residential Address							
					Pin	<input type="text"/>	<input type="text"/>
Phone No.'s							
Qualification							
Experience							
Income Tax (PAN No.)							
Equity Stake in Company							

PLEASE PROVIDE DETAILS OF YOUR BANK ACCOUNT

Bank Name			Nature of Account	
Account No.			Bank Address	

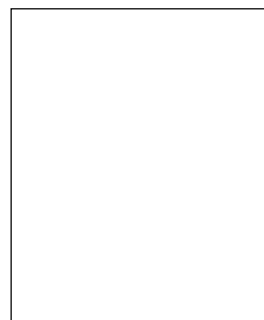
(ANY TWO OF THE FOLLOWING PROOF OF THE IDENTITY SHOULD BE SUBMITTED)

Passport No.		Place of Issue		Date of Issue ___/___/___
Voters Identity Card No.		Place of Issue		Date of Issue ___/___/___
Driving License No.		Place of Issue		Date of Issue ___/___/___

Signature ③ _____

DIRECTOR'S / PARTNER'S DETAILS**Commodity Broking Ltd**

Flat No. 5, Sagar Apartment, 6 Tilak Marg, New Delhi-110 001
 P : 011-23382345 / 3517 / 7420, 43100999, F : 91-11-23385640
 E : care@rkglobal.in W : www.rkglobal.net



Name							
Father's Name							
Sex	Male <input type="checkbox"/>	Female <input type="checkbox"/>	Marital Status : Married <input type="checkbox"/>		Unmarried <input type="checkbox"/>		
Designation					Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Residential Address							
					Pin	<input type="text"/>	<input type="text"/>
Phone No.'s							
Qualification							
Experience							
Income Tax (PAN No.)							
Equity Stake in Company							

PLEASE PROVIDE DETAILS OF YOUR BANK ACCOUNT

Bank Name			Nature of Account	
Account No.			Bank Address	

(ANY TWO OF THE FOLLOWING PROOF OF THE IDENTITY SHOULD BE SUBMITTED)

Passport No.		Place of Issue		Date of Issue ___/___/___
Voters Identity Card No.		Place of Issue		Date of Issue ___/___/___
Driving License No.		Place of Issue		Date of Issue ___/___/___

Signature ④

Date : _____

Client Name : _____

R K Global Commodity Broking Ltd

Flat No. 5, Sagar Apartment, 6 Tilak Marg, New Delhi-110 001
P : 011-23382345 / 3517 / 7420, 43100999, F : 91-11-23385640
E : care@rkglobal.in W : www.rkglobal.net

Client Code : _____

Dear Sir,

Sub : Letter of Authority

I/We am/are dealing in commodity with you at MCX Cash & Derivative Segment and in order to facilitate ease of operations, I/We authorise you as under;

1. I/We authorise you to setoff outstanding in any of my / our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact such credits in the accounts may pertain to transaction in any segment of this Exchange or any other exchanges and/or against the value of cash margin or collateral shares provided to you by me/us.
2. I/We hereby authorise you not to provide me / us Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I /We shall get the required details from contract notes issued by you.
3. I/We hereby authorise you to keep all the securities which I/we give you in margin to use the securities for meeting margin / other obligation of exchange in whatever manner which may include pledging of Shares in favour of bank on my / our behalf or for giving the same as margin to the Exchange or otherwise.
4. I/We request you to retain credit balance in any of my/our account in any exchange and to use the idle funds towards my/our margin/future obligations at the Exchange unless I instruct you otherwise.
5. I/We request you to retain Securities in your Demat account for my/our margin/future obligations at the Exchange, unless I/We instruct you to transfer the same to my/our account.
6. I/We request you to consider our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me / us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contract note issued by you.
7. I/We understand that you may increase/decrease the margins on different commodities as you may feel necessary for your protection.
8. I/We will collect from your office contract notes, bills, securities, etc. as per my/our convenience.
9. I/We request that you may send/despatch me / us contract notes other documents on my / our designated e-mail address _____
I/We state that I/We will not hold you responsible under any circumstances in the event of an e-mail which you send gets bounced due to any reason such as mail box being full, inactive account or due to any technical reason beyond your control.
10. I/We agree(s) that in the event the member or any employee or official of the member provide any information, recommendation or advice whether based on research work or Equity analysis of R K Global Commodity Broking Ltd whether paid or unpaid, or otherwise, to me/us, I/We may act upon the same at my/our sole risk and member or any employee or official of the member shall not be liable and responsible for any profit or loss arising out of such transaction(s).
11. I/We will completely rely on the log reports of your despatching software as a conclusive proof of dispatch of e-mail to me / us and will not dispute the same.
12. I/We will inform you the change of my / our e-mail address, if any, in future either by regd. post or through a digitally signed e-mail.
13. Trading of Commodities is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I/We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond your control and may result in delay in processing or not processing buy or sell Orders either in part or in full. I/We agree that I/We shall be fully liable and responsible for any such problems glitch.
14. I/We confirm that I/We will never sublet the trading terminal on any term of connectivity, from my/our place to any other place without your prior written approval.

Yours' faithfully

⑤ 

(Client Signature)

(5)

MEMBER AND CLIENT AGREEMENT (MCX)

This agreement is made at _____ this day of _____ 200__ by and between **M/s. R K Global Commodity Broking Ltd** a company and registered under the Companies Act 1956, hereinafter called MEMBER OF THE EXCHANGE, having its registered office address at **Flat No. 5, Sagar Apartment, 6 Tilak Marg, New Delhi - 110001 (INDIA)**. and....., a company / firm / individual or any other body corporate, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act, 1956, having its registered office at _____ (hereinafter called "the client" which expressive shall _____

WITNESSTH :

Whereas the member is registered as MEMBER OF THE EXCHANGE of MULTI COMMODITY EXCHANGE OF INDIA LTD. (hereinafter called MCX).

Whereas the CLIENT is desirous of trading in those contract admitted for dealing on MCX as defined in the Bye Laws, rules and Business Rules of MCX.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER OF THE EXCHANGE to deal in those contracts admitted for dealing on MCX and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER OF THE EXCHANGE before executing any orders through it.

Whereas the MEMBER OF THE EXCHANGE has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER'S liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of your handling derivatives transactions carried out on the MCX I/We agree that

- 1) I We have read the Risk Disclosure Document appended hereto and understand the trading & risk involved in the trading of these instruments and am/are fully responsible for my/our dealings in these instruments.
- 2) I/We shall be bound by the constitutions, bylaws, rules, regulations, and customs of MCX and the Clearing house of the Exchange.
- 3) I/We shall deposit with you monies, Warehouse Receipts or other property, which may be required to open and/or maintain my/our account or maintain my/our position.
- 4) I/We shall not, either acting alone or in concert with others, directly or indirectly, hold and control excess number of permitted contracts as fixed from time to time by the Exchange.
- 5) I/We shall not exercise a long or short position where, acting along or in concert with others, directly or indirectly I/We will have exercised in excess of number of permitted futures contracts as may be fixed from time to time by the exchange.
- 6) All monies, Warehouse Receipts or other property, which you may hold on my/our account, shall be subject to a general lien for the discharge of my/our obligations to you under this agreement.
- 7) I/We hereby authorize you at your discretion, should deem it necessary for your protection to buy, sell or close out any part or all of the derivative contracts held in my/our account with you. I/We will reimburse any or all such incidental expense incurred by you.

Now, THEREFORE, in consideration of the mutual understanding as set forth in this agreement, the parties hereto have agreed to the terms and conditions, as follows :

1. The agreement entered into between the Member and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month notice to each other. Such termination shall not have any effect on the transactions executed till the date of termination and the parties shall enjoy same rights and shall have same obligations in respect of such transactions.
2. In the event of the death or insolvency of the CLIENT or his otherwise becoming incapable of receiving and paying for or delivering or transferring commodities which the CLIENT has ordered to be bought or sold, the Member my with the approval of the Exchange, close out the transaction of the CLIENT and the CLIENT or his legal representative shall be liable for any losses, costs, damages including statutory/ regulatory charges. If any and be entitled to any surplus which may result therefrom.
3. All trades, transactions and contracts are subject to the Rules, Bye Laws and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of MUMBAI for the purpose of giving effect to the provisions of the rules, Bye Laws and Regulations of the Exchange.

IN WITNESS THEREOF the parties to the Agreement have caused these presents to be executed as of the day and year first-above written.

SIGNED for and on behalf of

The Member : **R K Global Commodity broking Ltd**

By :

Signature :

Title :

Witness :

SIGNED for and on behalf of

The Client :

By :

Signature 

Title :

Witness :

RISK DISCLOSURE DOCUMENT

[THIS DOCUMENT SHOULD BE READ BY EACH AND EVERY PROSPECTIVE CLIENT BEFORE ENTERING INTO DERIVATIVES TRADING AND SHOULD BE READ IN CONJUNCTION WITH REGULATIONS OF **MULTI COMMODITY EXCHANGE OF INDIA LIMITED (MCX)**]

MCX has not passed the merits of participating on the Exchange but has passed the adequacy or accuracy of this disclosure document. This brief statement does not disclose all of the risks and other significant aspects of trading. In light of the risks, you should undertake such transactions only if you understand the nature of the contracts (and contractual relationships) into which you are entering and the extent of your exposure to risk. Risk of loss in trading in derivatives can be substantial. You should carefully consider whether trading is appropriate for you in light of your experience, objectives, financial resources and other relevant circumstances. Derivatives trading thus require not only the necessary financial resources but also the financial and emotional temperament. In case of any consequences or loss in trading on the Exchange, the Client shall be solely responsible for such loss and the Exchange or Forward Markets commission shall not be responsible for the same and it will not be open for any client to take the plea that no adequate disclosure was made or he was not explained the full risk involved by the member. The client will be solely responsible for the consequences and no contract can be rescinded on that account.

RISK INVOLVED IN TRADING IN DERIVATIVES CONTRACTS

Effect of "Leverage" or "Gearing"

The amount of margin is small relative to the value of the derivatives contract so the transactions are leveraged or 'geared'. Derivatives trading which is conducted with a relatively small amount of margin, provides the possibility of great profit or loss in comparison with the principal investment amount. But transactions in derivatives carry a high degree of risk. You should therefore completely understand the following statements before actually trading in derivatives and also trade with caution while taking into account one's circumstances, financial resources etc. If the prices move against you, you may lose a part of or whole margin equivalent to the principal investment amount in a relatively short period of time. Moreover, the loss may exceed the original margin amount.

- A) Futures trading involves daily settlement of all positions. Every day the open positions are marked to market based on the closing level of the index. If the index has moved against you, you will be required to deposit the amount of loss (notional) resulting from such movement. This margin will have to be paid within stipulated time frame, generally before commencement of trading next day.
- B) If you fail to deposit the additional margin by the deadline or if an outstanding debt occurs in your account, the Member of the Exchange may liquidate a part of or the whole position or substitute commodities. In this case, you will be liable for any losses incurred due to such Close Outs.
- C) Under certain market conditions, a Client may find it difficult or impossible to execute transactions. For example, this situation can occur due to factors such as illiquidity i.e. when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.
- D) In order to maintain market stability, the following steps may be adopted: changes in the margin rate, increases in the cash margin rate or others. These new measures may be applied to the existing open interests. In such conditions, you will be required to put up additional margins or reduce your positions.
- E) You must ask your Member of the Exchange to provide the full details of the derivatives contracts you plan to trade i.e. the contract specifications and the associated obligations.

Risk-Reducing Orders or Strategies

The placing of certain orders (e.g., "stop loss" orders, or "stop-limit" orders), which are intended to limit losses to certain amounts, may not be effective because market conditions may make it impossible to execute such orders. Strategies using combinations of positions, such as "spread" positions, may be as risky as taking simple "long" or "short" positions.

Suspension or Restriction of Trading and Pricing Relationships

Market conditions (e.g., illiquidity) and /or the operations of the rules of certain markets (e.g., the suspension of trading in any contract or contact month because of price limits or "circuit breakers") may increase the risk of loss due to inability to liquidate/ offset positions.

Deposited Cash and Property

You should familiarize yourself with the protections accorded to the money or other property you deposit particularly in the event of a firm insolvency or bankruptcy. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property which has been specifically identifiable as your own will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall. In case of any dispute with the member of the Exchange, the same shall be subject to arbitration as per the Bye Laws and Regulations of the Exchange.

Commission and Other Charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

Trading Facilities

The Exchange offers electronic trading facilities, which are computer-based systems for order routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. Your ability to recover certain losses may be subject to limits on liability imposed by the system provider; the market, the clearing house and/ or member of the Exchange firms. Such limits may vary; you should ask the firm with which you deal for details in this respect.

This document does not disclose all of the risks and other significant aspects involved in trading on a derivatives market. The Client should therefore study derivatives trading carefully before becoming involved in it.

I hereby acknowledge that I have received and understood this risk disclosure statement.

Customer signature (If Partner, corporate, or other signatory, then attest with company seal.)

⑦ 

Date : (dd/mm/yyyy)

Signature of the Client

TERMS & CONDITIONS FOR ONLINE TRADING (MCX)

Charges Schedule	
Brokerage	Rs. 9/-* per lot
Margin against exposure	As prescribed by MCX
Software Maintenance Charges (Web Version)	Rs. 99/-* per month
Software Maintenance Charges (Diet Odin) #	Rs. 299/-* per month

*Service Tax extra as applicable

- ◆ Only cash margin allowed.
- ◆ Trading Allowed in MCX Segment Through our Web Base/Diet ODIN Internet trading system only.
- ◆ Clients shall be fully responsible to maintain secrecy of their passwords at all time and are advised to change them at regular intervals.
- ◆ Contract notes shall be sent in digital format via Email.
- ◆ Clients should have account with HDFC Bank with Net Banking Facility.
- ◆ Clients having bank a/c with other banks can also start trading but exposure/credit will be given only after the fund is credited to our a/c. However Clients are advised to maintain net banking facility to meet M2M losses any time to avoid surveillance action
- ◆ R K Global shall not be responsible for any loss or damages if any transaction is not executed at given time due to any technical snag.
- ◆ MCX charges and other statutory levy e.g. Service tax & Stamp Duty etc. shall be charged extra as applicable.
- ◆ Client shall be liable to pay/reimburse R K Global, for any demand/levies/duties etc, raised and/or imposed by MCX or any Government authorities (with retrospective effect) pertaining to his/her/their trades (including trades in tender period) executed in exchange through us.
- ◆ Software maintenance charge will be debited on the date of activation of account. There after it will be debited to the Client's financial ledger every month, unless a written request is received from the Client to stop/discontinue the services at least 5 days before the due date.

Note :

R K Global Commodity Broking Ltd reserves the right to

- i) Increase/decrease margins on commodities as it may deem fit.
- ii) Square off client's trade any time as it deems fit to protect itself.
- iii) Allow clients for trading on selective commodity.
- iv) Change and/or add terms & condition from time to time as it may deem fit.
- v) Approve/decline application for account opening without assigning any reason,

Special Charges

- ◆ Call and Trade facility shall be charged at Rs. 25/- per call.
- ◆ Physical copies of contract note shall be charged at Rs. 30/- per contract. Courier charges extra.

Special offer for Diet Odin users

Software Maintenance Charges BSE (Cash), NSE (Cash and F&O) & MCX	For any two segments	Rs. 499/-*
	For any three segments	Rs. 599/-*
	For all four segments	Rs. 699/-*

N.B. - BSE & NSE services will be offered through associate firms. Refer to BSE & NSE terms & conditions for more details.

I/We, _____ have read the above mentioned terms and conditions & accept the same.

Signature of Client

Client Code

FOR OFFICE USE ONLY

Branch Name :

Branch ID :

Location :

(If introduced by Branch)

Stamp Sign.

A/c opening charges (Rs.) :

Margin (Rs.) :

Account opening cheque details Amount (Rs.) : Cheque No. :

Bank Name :

Branch :

Lead / Media Source :

Executive Name :

Manager Name :

Executive ID :

Manager ID :

Date :

Date :

Stamp & Sign :

Stamp & Sign :

Verifier's Name :

Approvee's Name :

Date :

Date :

Stamp & Sign :

Stamp & Sign :

Remarks :

FOR OFFICE USE ONLY

Client Name :		Initial Payment : Rs.	
Client A/c Code :		UCC Code :	
DP ID :		Inst. Book No.	
Bank Name :		SB/CA A/c No.	
Cheque No.		MICR No.	

	Department	Client Information	Date of Receiving	Signature
1	Marketing			
2	CRF			
3	DP			
4	Accounts			
5	Surveillance			
6	Bank			
7	IBT			

Remarks :

**(SPECIMEN OF RESOLUTION TO BE PRINTED
ON COMPANY LETTER HEAD)**

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF _____ HELD ON _____ AT THE REGISTERED OFFICE OF THE COMPANY.

'RESOLVED THAT applicant be made for the registration of the company as a client with R K GLOBAL COMMODITY BROKING LTD, Member of MCX

FURTHER RESOLVED THAT Shri _____, Director be and is hereby authorised to sign the client registration form & other documents agreements etc. or to do all such things as may be required in this regard.

FURTHER RESOLVED THAT Shri _____, Director be and is hereby authorised to deal in the Commodities market or to do such things as may be required or he may deem fit for conducting business of the company

For _____

(DIRECTOR)

(Note : It has to be signed by other than authorised Director)

(MATTER TO BE PRINTED ON NON JUDICIAL STAMP PAPER OF RS. 50/-)

THIS NON JUDICIAL STAMP PAPER OR RS. 50/- FORMS PART & PARCEL OF ENCLOSED AGREEMENT BETWEEN R K GLOBAL COMMODITY BROKING LTD MEMBER OR MULTI COMMODITY EXCHANGE AND IT'S CONSTITUENT _____

R K GLOBAL COMMODITY BROKING LTD

(Director)

CLIENT

BANKER'S VERIFICATION

Date :
To,
R K Global Commodity Broking Ltd
Member Multi Commodity Exchange of India Ltd. (MCX)
Flat No. 5, Sagar Apartment, 6 Tilak Marg,
New Delhi-110 001 (INDIA).

Dear Sir,

This is to certify that the savings / current account no. _____
of Mr./Ms/M/s. _____ held jointly
with Mr./Ms/M/s. _____ with our branch, has been
active since _____.

We confirm that the signature of the client agrees with the specimen held in our records. This verification is without any responsibility on the part of the bank or the signing officer.

Signature of Client ⑧  _____ ⑩  _____
 ⑨  _____ ⑪  _____

Signed in my / our pree and attested by me / us

Bank Name & Address	
Name & Signature of authorised Signature	
Stamp of the Bank Branch	

OPTIONAL

Date : _____
Name : _____
Client Code : _____
Address : _____
: _____

To,

R K Global Shares & Securities Ltd.

Registered Office : Bungalow No. 31, Mhada Bungalow, Janki Devi Public School Road, Versova, Near Versova Telephone Exchange, Mumbai-400053

Subject : Adjustment in different Segments & Exchanges


Dear Sir,

Notwithstanding anything contrary contained in the agreement between us, I/ We hereby inform you that I/We have executed/ desire to execute an agreement with R K Global Commodity Broking Ltd for trading on the Multi Commodity Exchange of India Ltd. (MCX), and/ or any other exchange in cash and derivative segment. I/ We request to treat the agreement between ourselves and the agreement entered/ to be entered by me/ us with R K Global Commodity Broking Ltd as co-extensive and hereby authorize you to transfer, make adjustments and/ or to set off a part or whole of the securities placed as margin and/ or any surplus funds in any of my/our account / (s) maintained with you against the outstanding dues payable if any, by me/ us in any of my/ our account / (s) maintained with R K Global Commodity Broking Ltd or vice versa. You and/ or R K Global Commodity Broking Ltd shall have right / lien on the credit balance in any of my/ our accounts irrespective of whether it is maintained with you or R K Global Commodity Broking Ltd.

Any entries passed by you in accordance with this authorization shall be binding on me/ us.

Thanking You,

Yours Faithfully,

Signature :  _____

Name of the Client : _____ Client Code : _____

OPTIONAL

Date : _____
Name : _____
Client Code : _____
Address : _____
: _____

To,

R K Global Equity Broking Ltd.

Registered Office : 2, Saklat Place, Suite-9, 11 & 12, Kolkata-700 012

Subject : Adjustment in different Segments & Exchanges

Dear Sir,

Notwithstanding anything contrary contained in the agreement between us, I / We hereby inform you that I / We have executed / desire to execute an agreement with R K Global Commodity Broking Ltd for trading on the Multi Commodity Exchange of India Ltd (MCX), and/ or any other exchange in cash and derivative segment. I / We request to treat the agreement between ourselves and the agreement entered/ to be entered by me/ us with R K Global Commodity Broking Ltd as co-extensive and hereby authorize you to transfer, make adjustments and/ or to set off a part or whole of the securities placed as margin and/ or any surplus funds in any of my/our account / (s) maintained with you against the outstanding dues payable if any, by me/ us in any of my/ our account / (s) maintained with R K Global Commodity Broking Ltd or vice versa. You and/ or R K Global Commodity Broking Ltd shall have right / lien on the credit balance in any of my/ our accounts irrespective of whether it is maintained with you or R K Global Commodity Broking Ltd.

Any entries passed by you in accordance with this authorization shall be binding on me/ us.

Thanking You,

Yours Faithfully,

Signature :  _____

Name of the Client : _____ Client Code : _____

PLEASE READ THE FOLLOWING INSTRUCTIONS FOR CORPORATE PARTNERSHIP

1. Please ensure that the form is properly filled, attached with copies of the required documents and complete in all respects and please initial on over writings done if any.
2. Please put full signatures at the bottom of each page and other places marked for this purpose.
3. Original documents will be required for verifications at the time of submission of the form.
4. Please fill in the form in Capital Letters.
5. Please affix (not staple) a recent passport size photograph on the space provided.
6. If any information required does not fit in the form separate sheet may be used.
7. Information provided shall be kept confidential and the same shall not be disclosed to any person / entity except as required under the Law.
8. Documents Check List :

Personal Details of Partners / Promoters / Directors :

1. Copy of Latest Income Tax Return
2. Salary Certificate
3. Proof of Residence (Copy of Passport/Ration Card/Driving License/Voters Identity Card)
4. Letter from the Banker Certifying the Account Number
5. PAN Card Photocopy (each Promoter/Director/Partner, Auth. Signatory)


A Copy of the Following (only Relevant) may be taken :

1. Income Tax Statement for the Last 2 Financial Years
2. Copy of the Balance Sheet for the Last 2 Financial Years
3. Copy of the Partnership Deed in Case of a Partnership Firm
4. Copy of the Board of Director's Resolution for Participant in Derivatives Trading
5. Copy of the Valuers Certificate in Case of Immovable Property
6. Memorandum and Articles of Association.
7. Company/Firm PAN Card (Photocopy)

NOTE :

1. Please fill the information in **CAPITAL LETTER**.
2. Details like name of the branch, name of the client & address and other details of the client required to be mentioned in the form and agreement.
3. **Signature of all the Joint Holders are required on all pages of the agreement.**
4. All the details (Name & address of the client) must be filled.
5. Name, Address & signature of the witness are compulsory. (Please note that 2 witness are required to sign on behalf of the client)
6. Please note that if the signatures on the form & the proof provided differs, the form will be liable for rejection.
7. All documents collected will be verified with the original and the Client will have to self attest the photocopies.
8. All communications shall be sent at the Correspondence Address of the Sole / first Holder only.
9. Thumb impressions & signatures other than English or Hindi or any of the any languages not contained in the 8th Schedule of Constitution of India must be attested by Magistrate / Notary Public / Special Executive Magistrate under his/her official seal.
10. If any information required to be given which does not fit in the form, an annexure may be used.
11. Investors can mail their grievances at com.care@rkglobal.in

IMPORTANT NOTE : All the A/c Holders, Witnesses and Nominee (if any) must sign where ever their signature symbol is given

1. Signature of the client / first Holder ① 
2. Signature of the Witness

Verified by : _____

Approved by : _____

Client Code allotted : _____